AMTH-101US



**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/536,821

Applicant:

Andrew Douglas Bankhead

Filed:

May 27, 2005

Title:

SURFACE PROFILING APPARATUS

TC/A.U.:

2875

Examiner:

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08A and PTO/SB/08B submitted herewith. A copy of U.S. Patent Application No. 10/507,837 and all of the "Foreign Patent Documents" and the "Non-Patent Literature Documents" listed on the PTO/SB/08A and PTO/SB/08B are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. Therefore, no fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Christopher R. Lewis, Reg. No. 36,201

Lowell L. Carson, Reg. No. 45,548

Attorneys for Applicant

LLC/Irb

Enclosures: PTO/SB/08A and PTO/SB/08B

Copy of thirteen (13) references

Dated: October 27, 2005

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

October 27, 2005

Lisa Bennett

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PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

EMAINStitute for Form 1449A/PTO		Complete if Known	$\supset$
	Application Number	10/536,821	
INFORMATION DISCLOSURE	Filing Date		
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Andrew D. Bankhead	
	Art Unit	2875	
	Examiner Name		

Attorney Docket No.

SHEET 1 of 2

AMTH-101US

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number - Kind Code <sup>2 (If known)</sup>	(MM-DD-YYYY)	Applicant of Cited Document	Figures Appear
	1	US-10/507,837 (filed 5/23/05)		Bankhead et al.	
	2	US-2003/0117632-A1	06-26-2003	Golini et al.	
	3	US-6,552,806-B1	04-22-2003	Swinford et al.	
	4	US,6,545,761-B1	04-08-2003	Aziz et al.	
	5	US-2003/0011784-A1	01-16-2003	De Groot et al.	
	6	US-6,345,107-B1	02-05-2002	Scott	
	7	US-2001/0050773-A1	12-13-2001	De Groot et al.	· _
	8	US-6,215,555-B1	04-10-2001	Chivers	
	9	US-6,191,862-B1	02-20-2001	Swanson et al.	•
	10	US-6,084,671	07-04-2000	Holcomb	
	11	US-6,031,928	02-29-2000	Scott	
	12	US-6,028,670	02-22-2000	Deck	
	13	US-5,907,404	05-25-1999	Marron et al.	
	14	US-5,706,085	01-06-1998	Blossey et al.	
	15	US-5,355,221	10-11-1994	Cohen et al.	
	16	US-5,321,501	06-14-1994	Swanson et al.	
	17	US-5,112,129	05-12-1992	Davidson et al.	
	18	US-4,845,356	07-04-1989	Baker	
	19	US-4,818,110	04-04-1989	Davidson	

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T€
	20	WO-2004/048886-A1	06-10-2004	Taylor Hobson Limited		
	21	WO-01/42735-A1	06-14-2001	Oti Opthalmic Technologies, Inc.		
	22	WO-94/18523	08-18-1994	Zygo Corporation		
	23	WO-93/16631	09-02-1993	Phoenix Laser Systems, Inc.		

Examiner	Date	•
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>\*</sup>Rind of document by the appropriate symbols as indicated on the document under VIPO Standard S1.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SHEET 2 of 2

Complete if Known		
Application Number	10/536,821	:
Filing Date		
First Named Inventor	Andrew Douglas	
Art Unit	2875	
Examiner Name		
Attorney Docket No.	AMTH-101US	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	24	FRIEDHEIM BECKER et al., "Automatic evaluation of interferograms," SPIE, Vol. 359, Applications of Digital Image Processing IV (1982), pp. 386 - 393.	
	25	LAWRENCE MERTZ, "Real-time fringe-pattern analysis," Applied Optics, Vol. 22, No. 10, 15 May 1983, pp. 1535 - 1539.	
	26	KATHERINE CREATH, "Step height measurement using two-wavelength phase-shifting interferometry," Applied Optics, Vol. 26, No. 14, 15 July 1987, pp. 2810 - 2815.	
	27	PETER de GROOT et al., "Three-dimensional imaging by sub-Nyquist sampling of white-light interferograms," Optic Letters, Vol. 18, No. 17, September 1, 1993, pp. 1462 - 1464.	
	28	BHARAT BHUSHAN et al., "Measurement of surface topography of magnetic tapes by Mirau interferometry," Applied Optics, Vol. 24, No. 10, 15 May 1985, pp. 1489 - 1497.	
	29	PAUL F. FORMAN et al., "The Zygo interferometer system," SPIE, Vol. 192, Interferometry (1979), pp. 41 - 48.	<u></u>
	30	KIERAN G. LARKIN, "Topics in Multi-dimensional Signal Demodulation," A Thesis Submitted to the Faculty of Science in the University of Sydney, December 2000.	
	31	BYRON S. LEE et al., "Profilometry with a coherence scanning microscope," <i>Applied Optics</i> , Vol. 29, No. 26, 10 September 1990, pp. 3784-3788.	
		·	

Examiner Signature	Date Considered	_:

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<sup>1</sup>Applicant's unique citation designation number (optional).

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5\*

Application Number	10/536,821	
Filing Date	May 27, 2005	
First Named Inventor	Andrew Douglas Bankhead	
Art Unit	2875	
Examiner Name		
Attorney Docket No.	AMTH-101US	

ENCLOSURES (Check all that apply)					
Fee Transmittal Form Fee Attached	☐ Drawing(s) ☐ Licensing-related Papers	After Allowance Communication to TC			
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)	Licensing-related Papers				
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53  Remarks:  *The total number of pages listed above does not include the number of page of the references submitted along with the Information Disclosure Statement					
SIGNATUR	E OF APPLICANT, ATTORNEY OR AG	ENT			
Firm Name RatnerPrestia  Signature Printed Name Lowell L. Carson					
Date October 27, 2005	Registration No. 48,548				
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Typed or Printed Name Lisa Bennett		Date October 27, 2005			

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